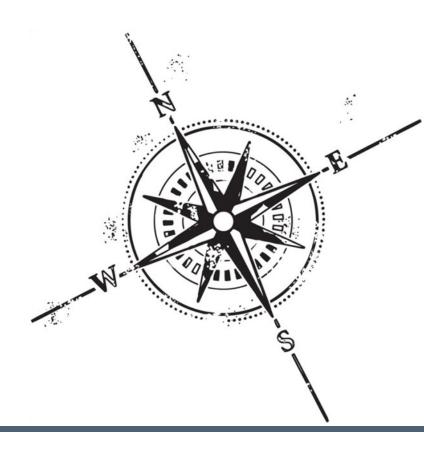


NAVIGATION GUIDE FEDERAL REGISTRY

# View Institution (MU1R) Summary (Composite View) Information



## Purpose

This navigation guide is designed to provide a general understanding of viewing institution information in NMLS.

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#### Resources

Resources for NMLS:

- NMLS Resource Center at: http://fedregistry.nationwidelicensingsystem.org
- NMLS Call Center at: 1-855-NMLS-123 (1-855-665-7123)

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#### **Composite View**

Composite View is a function in NMLS that provides institutions with the ability to view information concerning their institution in a read only mode. The most recent information submitted by an institution is obtained by accessing the most recent filing in Historical Filings. Institution users can only view their own institution's record.

# Accessing Composite View for an Institution

To access Composite View for an institution:

1. From the *NMLS Home* screen, click the **Composite View** tab.

	LREGISTRY	Resource Center	
	FILING TASKS COMPOSITE VIEW RENEW/REACTIVATE	ADMIN REPORTS	HOME
	Home   User Profile   Invoice	Logged in as BinghamR	Logout
You are currently: Federal			
Portal	Welcome to NMLS!		1
	NMLS allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your Institution also c mortgage Ioan originator (MLO) filings (Form MU4R), submit registrations for renewal or reactivation, and manage employ associated with your Institution.		
	Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.		
	FILING Choose this tab to <i>complete, amend</i> and/or <i>submit</i> MU1R filings for your Institution or any Federal MLO Registration filing MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.	gs (Form MU4R) for	
	TASKS Choose this tab to <i>perform</i> an MLO Batch Upload, view previous MLO Batch Upload files, including information regarding manage Employment Records, including mergers and acquisitions (M&A) employment transfers. Users with the Financia choose this tab to access and pay outstanding Invoices for MU4R fees.		
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#### 2. Click **View Institution** on the sub-menu.

	AL REGISTRY
	FILING TASKS COMPOSITE VIEW RENEW/REACTIVATE ADMIN REPORTS HOME
	View Institution View Individual Logged in as Bingham R Logged
You are currently:	
View Home	View - Home
	👌 🥐 HELP
	COMPOSITE VIEW OF RECORDS IN THE NMLS This section of NMLS allows you to access your institution record (Form MU1R) and any mortgage loan originator (MLO) record (MU4R) in NMLS you have authority to access, including historical filings. View Institution Select this link above to access the composite view for your Institution. View Individual Select this link above to access the composite view of an MLO.

fou are currently: Federal View Institution Information View Historical Filings View MU1R Status	View Institution Information Road Warrior Mortgage Company (29706)	🗞 (92) HELP
	Institution ID: 29706	
	Institution Name: Road Warrior Mortgage	Company
	Address: 123 First Street Rock, MD 20850	
	IRS Employer Identification Number: 53-9210857	
	RSSD ID: 5963447	
	Primary Federal Agency: Federal Deposit Insuran	ce Corporation
	Subsidiary: No	
	Parent RSSD ID:	
	Email Address: email@email.com	
	Contact Name: Ryan Bingham	
	Phone Number: 240-386-4444	
	Fax Number:	

[Result:] The View Institution Information screen displays.

## **View Historical Filings**

Historical Filings provide the ability to view an institution's MU1R filing history. The filing history is made up of the initial MU1R filing and all subsequent amendment filings submitted through NMLS.

To access View Historical Filings for an institution:

- 1. From the *NMLS Home* screen, click the **Composite View** tab.
- 2. Click View Institution on the sub-menu.
- 3. Click View Historical Filings on the navigation panel.
- 4. Click the **View** icon next to the filing to view that filing.

#### **Column Headings:**

- Filing ID a system generated unique identifier for each filing
- System Processed the system date and time the filing was processed by NMLS
- Submitter the user name of the user who submitted the filing
- Sections Changed the specific sections updated in the filing

You are currently: Federal View Institution Information View Historical Filings View MU1R Status	Road You may	<b>Warrior N</b>	Historical Fili lortgage Company le filing by clicking on the v listed, you may select two l	(29706) iew icon 🛄 t	below. ck the <b>Compare</b> button	to compare in redline mode.	👌 🦓 HELP
	_	Filing ID	System Processed	Submitter	Sections Changed		
		97547	9/4/2012 12:27:27 PM	BinghamR	Click to View	MU1R changes for Road Warrior	
		96323	7/16/2012 12:35:13 PM	BinghamR	Click to View	Mortgage Company	
		95662	6/1/2012 6:27:27 PM	BinghamR	Click to View	<ul> <li>Identifying Information</li> </ul>	
	1	92597	3/2/2012 11:30:36 AM	BinghamR	Click to View		
	1	79401	2/22/2011 12:53:39 PM	KeenerN	Click to View		
	li 🗉	73818	1/24/2011 10:09:57 AM	BinghamR	Click to View		
						Compare	

**NOTE:** Select Click to View to display a box showing the filing type and links to each section of the form that was updated on that filing. To view the changes in red-line click the link for the updated section of the form.

Form MU1R	Please return to the previous screen by closing this window when you are done viewing and/or printing the information.
Identifying Information Contact Employee Information	Road Warrior Mortgage Company (29706) Institution (MU1R) filing submitted 09/04/2012 by BinghamR.
	Identifying Information
	Entity Information:
	Entity Name: Road Warrior Mortgage Company
	IRS Employer Identification Number: 99-4688359
	RSSD ID: 5963447
	Are you a subsidiary?
	Parent RSSD ID:
	Do you want to amend your legal name?
	If yes, check here:
	New Entity Name:
	Main address (Do not use a PO Box):

[Result:] The historical filing selected opens in a new browser window or tab.

**NOTE:** Institution users can view the sections of the filing by clicking the links on the navigation panel.

#### **Comparing Historical Filings**

From the *View Historical Filings* screen, users can compare the differences between any two filings in redline. The redline functionality displays older information on the filing as strikethrough and new or updated information displays in red font. There must be at least two historical filings for the Compare feature to be available.

To compare filings:

- 1. From the *NMLS Home* screen, click the **Composite View** tab.
- 2. Click View Institution on the sub-menu.
- 3. Click View Historical Filings on the navigation panel.
- 4. Select the **checkboxes** next to the two filings to be compared.
- 5. Click **Compare**.

View Historical Filings	View	MU1R	Historical Fili	ngs					
View MU1R Status	Road	Narrior N	lortgage Company	(29706)					👌 ?? HELP
	You may If multipl	r view a sing e filings are	le filing by clicking on the v listed, you may select two l	iew icon 🚺 t boxes and cli	below. ck the <b>Compare</b> button	to compare in redlin	e mode.		
		Filing ID	System Processed	Submitter	Sections Changed				
		97547	9/4/2012 12:27:27 PM	BinghamR	Click to View				
	1	96323	7/16/2012 12:35:13 PM	BinghamR	Click to View				
		95662	6/1/2012 6:27:27 PM	BinghamR	Click to View				
		92597	3/2/2012 11:30:36 AM	BinghamR	Click to View				
		79401	2/22/2011 12:53:39 PM	KeenerN	Click to View				
		73818	1/24/2011 10:09:57 AM	BinghamR	Click to View				
					$\langle$	Compare			

[Result:] The MU1R filing displays in a new browser window or tab with the changes in redline.

Form MU1R	Please return to the previous screen by closing this window when you are done viewing and/or printing the information.
Identifying Information Contact Employee Information	Road Warrior Mortgage Company (848552) Institution (MU1R) filing submitted 05/11/2012 by BinghamR.
	Identifying Information
	Entity Information:
	Entity Name: Road Warrior Mortgage Company IRS Employer Identification Number: 22-8887458
	IKS Employer Identification Number: 22-000/450 RSSD10: 87458799
	Are you a subsidiary?
	Parent RSSD ID:
	Do you want to amend your legal name?
	If yes, check here:
	New Entity Name:
	Main address (Do not use a PO Box):
	Number & Street: 123 Main St 222 Main Street
	City: Rockville The Rock
	State: Maryland
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View MU1R Status

#### To View an MU1R Status

- 1. From the *NMLS Home* screen, click the **Composite View** tab.
- 2. Click View Institution on the sub-menu.
- 3. Click View MU1R Status on the navigation panel.

[Result]: The View MU1R Status screen displays.

You are currently:	View MU1R Status						
View Historical Filings View MU1R Status	Road Warrior Mortgage Compa	anv (29)	706)				👌 <b>?</b> ? HELP
		MU1R	MU1R		Renewal Status Date	Renewed Through	
	Federal Deposit Insurance Corporation	Active	1/24/2011	Renewed	10/21/2011	2013	

**NOTE:** This screen shows the current MU1R Status (Registration Status) and Renewal Status. To view registration and renewal status history click on the regulator link.

[Result:] The View MU1R Status History screen displays.

/ou are currently:																
View Institution Information	View MU1R Status History															
View Historical Filings																
View MU1R Status	Road	Road Warrior Mortgage Company (29706)										:LP				
	Regu	lator: Federal [	)eposit Insurance	Corporation												
	MU1R Status	MU1R Status Date	Renewal Status	Renewal Status Date	Renewal Requested User	r										
	Active	1/24/2011	Renewed	10/21/2011	BinghamR											
	Active	1/24/2011	Not Requested	10/10/2011												
	Active	1/24/2011	Renewed	10/7/2011	BinghamR											
	Active	1/24/2011	Not Requested	9/20/2011												

# Key Terms

**Composite View -** Composite View is a function in NMLS that provides users with the ability to view registrations, historical filings and employment information for institutions and individuals. Users must have appropriate permissions and access to view composite.

**Federal Agency -** A federal organization which oversees the operations of federal agencyregulated institutions and their subsidiaries.

**Filing ID** - The unique identifier number (ID) generated by NMLS for each filing created. Because every filing is assigned an ID when it is created, there may be several "filing ID" numbers associated with any NMLS record.

**Historical Filing** - A filing that has been processed by the system; therefore, it is in a status of 'Filing Processed'. Once the system processes the filing it becomes available for view through the Composite View tab.

**MU1R -** The filing used by a federal agency-regulated Institution to submit and attest to information required to establish the Institution as a valid employer for an MLO employed by a federal agency-regulated institution (exempt federal registration).

**Organization -** Generic reference to an institution or company, independent of whether it is state and/or federal-agency regulated.